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Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

## Complete if Known

Application Number	10/714,070
Filing Date	November 14, 2003
First Named Inventor	John D. Dobak, III
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	010007

## OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
RR	A1	Hederer, G., et al.; "Animal Experiment Observations Regarding Cardiac Surgery under Intravascular Hypothermia"; Labgebbecjs Arch. U. Dtsch. A. Chir., Bd. 283, S. 601-625 (1957) (German article with English translation)	
	A2	Behmann, F.W.; "Heat Generation Control during Artificial Hypothermia: II. Theoretical Examinations"; Pflügers Archiv, Bd. 266, S. 422-446 (1958) (German article with English translation)	
	A3	Behmann, F.W., et al.; « Heat Generation Control during Artificial Hypothermia: I: Experimental Examination of the Influence of Anesthetic Depth"; Pflügers Archiv, Bd. 266, S. 408-421 (1958) (German article with English translation)	
	A4	Behmann, F.W., et al.; Intravascular Cooling, a Method to Achieve Controllable Hypothermia; Pflügers Archive, Vol. 263, pages 145-165 (1956) (German article with English translation)	
	A5	Behmann, F.W.; "Heat Generation Control during Artificial Hypothermia, an article about the economic problem of trembling stages"; Pflügers Archive, Vol. 263, pages 166-187 (1956) (German article with English translation)	
	A6	Behmann, F.W.; "Regulation of heat production in experimental hypothermia of homothermal animals"; Naunyn Schmiedebergs Arch Exp Pathol Pharmacol; 228 (1-2): 126-128 (1956). (German article with English translation)	

Examiner  
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*Reshina Rollins*

Date  
Considered

11/15/04

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Sheet 1 of 18

Complete if Known

Application Number	10/714,070
Filing Date	November 14, 2003
First Named Inventor	John D. Dobak, III
Group Art Unit	3739
Examiner Name	Not Yet Known
Attorney Docket Number	010007

## U.S. PATENT DOCUMENTS

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
RR	AA	RE 33,911		Samson et al	05/1992	
	AB	2,308,484		Auzin, et al.	01/19/1943	
	AC	2,374,609		McCollum	04/24/1945	
	AD	2,615,686		Davidson	10/28/1982	
	AE	2,672,032		Towse	03/16/1954	
	AF	2,913,009		Kuthe	11/17/1959	
	AG	3,125,096		Antiles et al.	07/07/1961	
	AH	3,298,371		A.S.J. Lee	01/17/1967	
	AI	3,425,419		A.A. Dato	02/04/1969	
	AJ	3,460,538		Armstrong	08/12/1969	
	AK	3,504,674		Swenson, et al.	04/07/1970	
	AL	3,604,419		Diskin, et al.	09/14/1971	
	AM	3,612,175		Ford et al.	07/01/1969	
	AN	3,612,175		Ford, et al.	10/12/71	
	AO	3,865,116		Brooks	02/11/1975	
	AP	3,888,259		Miley	06/10/1975	
	AQ	3,971,383		Van Gerven	07/27/1976	
	AR	4,038,519		Foucras	07/26/1977	
	AS	4,153,048		Magrini	05/18/1979	
	AT	4,160,455		Law	07/10/1979	
	AU	4,190,033		Foti	02/26/1980	
	AV	4,216,767		Aoshiro	08/12/1980	
	AW	4,231,425		Engstrom	11/04/1980	
	AX	4,241,729		Aoshiro	12/30/1980	
	AY	4,275,734		Mitchiner	06/30/1981	
	AZ	4,298,006		Parks	11/03/1981	
	BA	4,318,722		Altman	03/09/1982	
	BB	4,323,071		Simpson, et al.	04/06/82	
	BC	4,427,009		Wells, et al.	01/24/1984	
	BD	4,445,500		Osterholm	05/01/1984	
	BE	4,464,172		Lichtenstein	08/07/1984	
	BF	4,483,341		Witteles	11/20/1984	
	BG	4,484,586		McMickle et al.	11/27/1984	
	BH	4,502,286		Okada, et al.	03/05/1985	
	BI	4,569,355		Bitterly	02/11/1986	
	BJ	4,581,017		Sahota	04/08/1986	
	BK	4,602,642		O'Hara et al.	07/29/1986	
	BL	4,602,642		O'Hara, et al.	07/29/86	

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Substitute for form 1449B/PTO			Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			Application Number	10/714,070	
			Filing Date	November 14, 2003	
			First Named Inventor	John D. Dobak, III	
			Group Art Unit	3739	
			Examiner Name	Not Yet Known	
Sheet	2	of	18	Attorney Docket Number	010007

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
RL	BM	4,655,746		Daniels, et al.	04/07/1987	
	BN	4,672,962		Hershenson	06/16/1987	
	BO	4,731,072		Aid	03/15/1988	
	BP	4,739,492		Cochran	04/19/1988	
	BQ	4,745,922		Taylor	05/24/1988	
	BR	4,747,826		Sassano	05/31/1988	
	BS	4,748,979		Hershenson	06/07/1988	
	BT	4,750,493		Brader	06/14/1988	
	BU	4,762,129		Bonzel	08/09/1988	
	BV	4,762,130		Fogarty, et al.	08/09/1988	
	BW	4,781,799		Herbert, Jr. et al.	11/01/1988	
	BX	4,796,640		Webler	01/10/1989	
	BY	4,817,624		Newbower	04/04/1989	
	BZ	4,819,655		Webler	04/11/1989	
	CA	4,820,349		Saab	01/11/1989	
	CB	4,860,744		Johnson, et al.	08/29/1989	
	CC	4,883,455		Leonard	11/28/1989	
	CD	4,894,164		Polaschegg	01/16/1990	
	CE	4,904,237		Janese	02/27/1990	
	CF	4,920,963		Brader	05/01/1990	
	CG	4,951,677		Crowlet et al.	08/28/1990	
	CH	4,964,409		Tremulis	10/23/1990	
	CI	4,973,493		Guire	11/27/1990	
	CJ	4,979,959		Guire	12/25/1990	
	CK	5,000,734		Boussignac et al.	03/19/1991	
	CL	5,002,531		Bonzel	03/25/1991	
	CM	5,014,695		Benak, et al.	05/14/1991	
	CN	5,018,521		Campbell	95/28/1991	
	CO	5,019,075		Spears, et al.	05/28/1991	
	CP	5,024,668		Peters et al.	06/18/1991	
	CQ	5,041,089		Mueller, et al.	08/20/1991	
	CR	5,046,497		Millar	09/10/1991	
	CS	5,059,057		Graef	10/22/1991	
	CT	5,078,713		Varney	01/07/1992	
	CU	5,089,260		Hunter et al.	02/18/1992	
	CV	5,092,841		Spears	03/03/1992	
	CW	5,106,360		Ishwara, et al.	04/21/1992	
2	CX	5,106,368		Uldall et al.	04/21/1992	

Examiner Signature	<i>RL Pollard</i>	Date Considered	11/15/04
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Substitute for form 1449B/PTO			Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/714,070	
			Filing Date	November 14, 2003	
			First Named Inventor	John D. Dobak, III	
			Group Art Unit	3739	
			Examiner Name	Not Yet Known	
Sheet	3	of	18	Attorney Docket Number	010007

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
RL	CY	5,108,390		Potocky et al.	04/28/1992
	CZ	5,110,721		Anaise, et al.	05/05/1992
	DA	5,112,438		Bowers	05/12/1992
	DB	5,117,822		Laghi	06/02/1992
	DC	5,147,355		Friedman et al.	09/15/1992
	DD	5,149,321		Klatz, et al.	09/22/1992
	DE	5,150,706		Cox, et al.	09/29/1992
	DF	5,151,100		Abele, et al.	09/29/1992
	DG	5,156,151		Mir Imran	10/20/1992
	DH	5,180,364		Ginsburg	01/19/1993
	DI	5,190,539		Fletcher, et al.	03/02/1993
	DJ	5,191,883		Lennox, et al.	03/09/1993
	DK	5,196,024		Barath	03/23/1993
	DL	5,211,631		Sheaff	05/18/1993
	DM	5,211,631		Sheaff	05/18/93
	DN	5,234,405		Klatz, et al.	08/10/1993
	DO	5,236,908		Gruber et al.	08/17/1993
	DP	5,239,999		Mir A. Imran	08/31/1993
	DQ	5,246,421		Saab	10/21/1993
	DR	5,248,312		Langberg	09/28/1993
	DS	5,250,070		Parodi	10/05/1993
	DT	5,257,977		Eshel	11/02/1993
	DU	5,264,260		Saab	11/23/1993
	DV	5,267,341		Shearin	11/30/1993
	DW	5,269,369		Faghri	12/14/1993
	DX	5,269,749		Koturov	12/14/1993
	DY	5,269,758		Taheri	12/14/1993
	DZ	5,279,299		Mir A. Imran	01/18/1994
	EA	5,281,213		Milder et al.	01/25/1994
	EB	5,281,215		Milder	01/25/1994
	EC	5,306,261		Alliger et al.	04/26/1994
	ED	5,310,440		Zingher	05/10/1994
	EE	5,322,514		Steube et al.	06/21/1994
	EF	5,322,515		Karas et al.	06/21/1994
	EG	5,322,518		Schneider et al.	06/21/1994
	EH	5,326,165		Walthall et al.	07/05/1994
	EI	5,326,166		Walthall et al.	07/05/1994
	EJ	5,330,435		Vaillancourt	07/19/1994

Examiner Signature	<i>[Signature]</i>	Date Considered	11/15/04
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		Filing Date	November 14, 2003		
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		Examiner Name	Not Yet Known		
Sheet	4	of	18	Attorney Docket Number	010007

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		Number	Kind Code <sup>2</sup> (if known)			
RR	EK	5,330,519		Mason et al.	07/19/1994	
	EL	5,334,193		Nardella	08/02/1994	
	EM	5,342,301		Saab	08/30/1994	
	EN	5,342,621		Eury	08/30/1994	
	EO	5,344,436		Fontenot, et al.	09/06/1994	
	EP	5,344,740		Iwasawa et al.	09/06/1994	
	EQ	5,354,272		Swendson et al.	10/11/1994	
	ER	5,358,486		Saab	10/25/1994	
	ES	5,364,364		Kasvikis et al.	11/15/1994	
	ET	5,365,750		Greenthal	11/22/1994	
	EU	5,368,591		Lennox, et al.	11/29/1994	
	EV	5,368,591		Lennox, et al.	11/29/1994	
	EW	5,383,854		Safar et al.	01/24/1995	
	EX	5,383,918		Panetta	01/24/1995	
	EY	5,395,314		Klatz, et al.	03/07/1995	
	EZ	5,395,331		O'Neill, et al.	03/07/1995	
	FA	5,403,281		O'Neill et al.	04/04/1995	
	FB	5,417,686		Peterson, et al.	05/23/1995	
	FC	5,423,745		Todd, et al.	06/13/1995	
	FD	5,423,807		Milder	06/13/1995	
	FE	5,423,807		Milder	06/13/95	
	FF	5,433,740		Yamaguchi	07/18/1995	
	FG	5,437,673		Baust, et al.	08/01/1995	
	FH	5,443,456		Alliger et al.	08/22/1995	
	FI	5,462,521		Brucker, et al.	10/31/1995	
	FJ	5,486,204		Clifton	01/23/1996	
	FK	5,486,208		Ginsburg	01/23/1996	
	FL	5,496,271		Burton et al.	03/05/1996	
	FM	5,496,271		Burton, et al.	03/05/96	
	FN	5,499,973		Saab	03/19/1996	
	FO	5,520,682		Baust et al.	05/28/1996	
	FP	5,531,776		Ward, et al.	07/02/1996	
	FQ	5,536,247		Thornton	07/16/1996	
	FR	5,545,133		Burns, et al.	08/13/96	
	FS	5,545,708		Onwunaka et al.	08/13/1996	
	FT	5,549,559		Eshel	08/27/96	
	FU	5,554,119		Harrison et al.	09/10/1996	
	FV	5,554,119		Harrison, et al.	09/10/96	

Examiner Signature	<i>R. Pollins</i>	Date Considered	11/15/04
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	FX	5,569,195		Saab	10/29/1996	
	FY	5,573,532		Chang, et al.	11/12/1996	
	FZ	5,578,008		Hara	11/26/1996	
	GA	5,584,804		Klatz, et al.	12/17/1996	
	GB	5,588,438		McKown, et al.	12/31/1996	
	GC	5,591,162		Fletcher, et al.	01/07/1997	
	GD	5,617,854		Munsif	04/08/1997	
	GE	5,620,480		Rudie	04/15/1997	
	GF	5,622,182		Jaffe	04/22/1997	
	GG	5,622,182		Jaffe	04/22/97	
	GH	5,624,342		Younger	08/29/1997	
	GI	5,624,392		Saab	04/29/1997	
	GJ	5,630,837		Crowley	05/20/1997	
	GK	5,642,197		Brucker et al.	07/01/1997	
	GL	5,643,197		Brucker et al.	07/01/1997	
	GM	5,647,051		Neer	07/08/1997	
	GN	5,653,692		Masterson et al.	08/05/1997	
	GO	5,676,693		Lafontaine	10/14/1997	
	GP	5,709,654		Klatz et al.	01/20/1998	
	GQ	5,713,941		Robins, et al.	02/03/1998	
	GR	5,716,386		Ward, et al.	02/10/1998	
	GS	5,733,318		Augustine	03/31/1998	
	GT	5,733,319		Neilson et al.	03/31/1998	
	GU	5,735,809		Gorsuch	04/07/1998	
	GV	5,735,809		Gorsuch	04/07/1998	
	GW	5,797,878		Bleam	08/25/1998	
	GX	5,799,661		Boyd et al.	09/01/1998	
	GY	5,800,480		Augustine, et al.	09/01/1998	
	GZ	5,800,483		Vought	09/01/1998	
	HA	5,800,486		Thome	09/01/1998	
	HB	5,800,516		Fine, et al.	09/01/98	
	HC	5,807,391		Wijkamp	09/15/1998	
	HD	5,820,593		Safar et al.	10/13/1998	
	HE	5,824,030		Yang, et al.	10/20/1998	
	HF	5,827,222		Klatz, et al.	10/27/1998	
	HG	5,827,237		Macoviak, et al.	10/27/1998	
	HH	5,827,269		Saadat	10/27/1998	

Examiner Signature	<i>R. Rollins</i>	Date Considered	11/15/04
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Substitute for form 1449B/PTO			Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/714,070	
			Filing Date	November 14, 2003	
			First Named Inventor	John D. Dobak, III	
			Group Art Unit	3739	
			Examiner Name	Not Yet Known	
Sheet	6	of	18	Attorney Docket Number	010007

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
RR	HI	5,833,671		Macoviak, et al.	11/10/1998	
	HJ	5,833,673		Ockuly et al.	11/10/1998	
	HK	5,834,465		Olney	11/10/1998	
	HL	5,837,003		Ginsburg	11/17/1998	
	HM	5,861,021		Thome et al.	01/19/1999	
	HN	5,868,735		Lafontaine	02/09/1999	
	HO	5,871,468		Kramer, et al.	02/16/99	
	HP	5,871,526		Gibbs, et al.	02/16/1999	
	HQ	5,873,835		Hastings, et al.	02/23/1999	
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	HS	5,879,316		Safar et al.	03/09/1999	
	HT	5,879,329		Ginsburg	03/09/1999	
	HU	5,891,094		Masterson et al.	04/06/1999	
	HV	5,899,898		Arless et al.	05/04/1999	
	HW	5,899,898		Arless, et al.	05/04/99	
	HX	5,899,899		Arless, et al.	05/04/1999	
	HY	5,902,268		Saab	05/11/1999	
	HZ	5,906,588		Safar et al.	05/25/1999	
	LA	5,906,594		Scarfone et al.	05/25/1999	
	IB	5,906,636		Casscells, III et al.	05/25/1999	
	IC	5,910,104		Dobak III et al.	06/08/1999	
	ID	5,913,856		Chia et al.	06/22/1999	
	IE	5,913,885		Klatz, et al.	06/22/1999	
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	IG	5,916,242		Schwartz	06/29/1999	
	IH	5,957,917		Doiron et al.	09/28/1999	
	II	5,957,963		Dobak, III	09/28/1999	
	IJ	5,964,751		Amplatz et al.	10/12/1999	
	IK	5,968,009		Siman	10/19/1999	
	IL	5,971,979		Joye et al	10/26/1999	
	IM	5,971,979		Joye, et al.	10/26/99	
	IN	5,989,238		Ginsburg	11/23/1999	
	IO	6,007,692		Herbert et al.	12/28/1999	
	IP	6,011,995		Guglielmi et al.	01/04/2000	
	IQ	6,019,783		Philips et al.	02/01/2000	
	IR	6,022,336		Zadno-Azizi et al.	02/08/2000	
	IS	6,024,740		Lesh et al.	02/15/2000	
	IT	6,033,383		Ginsburg	03/07/2000	

Examiner Signature	<i>R Rollins</i>	Date Considered	11/15/04
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			Filing Date	November 14, 2003	
			First Named Inventor	John D. Dobak, III	
			Group Art Unit	3739	
			Examiner Name	Not Yet Known	
Sheet	7	of	18	Attorney Docket Number	010007

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		Number	Kind Code <sup>2</sup> (if known)			
RL	IU	6,042,559		Dobak, III	03/28/2000	
	IV	6,051,019		Dobak, III	04/18/2000	
	IW	6,063,101		Jacobsen et al.	05/16/2000	
	IX	6,096,068		Dobak, III et al.	08/01/2000	
	IY	6,110,168		Ginsburg	08/29/2000	
	IZ	6,126,684		Gobin et al.	10/03/2000	
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	JB	6,146,411		Noda, et al.	11/14/01	
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	JD	6,149,670		Worthen, et al.	11/21/00	
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	JG	6,149,677		Dobak, III	11/21/2000	
	JH	6,165,207		Balding, et al.	12/26/00	
	JI	6,190,354		LaFontaine	02/20/2001	
	JJ	6,194,899		Ishihara et al.	02/27/2001	
	JK	6,224,624		Lasheras et al	05/01/2001	
	JL	6,224,624		Lasheras, et al.	05/01/01	
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	JN	6,231,594		Dae	05/15/01	
	JO	6,231,595		Dobak, III	05/15/2001	
	JP	6,235,048		Dobak, III	05/22/2001	
	JQ	6,238,428		Werneth et al.	05/29/2001	
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	JU	6,251,093		Valley et al.	06/26/2001	
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	JW	6,251,130		Dobak, III et al.	06/26/2001	
	JX	6,254,626		Dobak III et al.	07/03/2001	
	JY	6,254,626		Dobak, III, et al.	07/03/01	
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	KA	6,264,679		Keller, et al.	07/24/01	
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KD	6,287,326		Pecor	09/11/2001		
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KF	6,290,697		Tu, et al.	09/18/01		

Examiner Signature	Rollins	Date Considered	4/15/04
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			Filing Date	November 14, 2003
			First Named Inventor	John D. Dobak, III
			Group Art Unit	3739
			Examiner Name	Not Yet Known
			Attorney Docket Number	010007
Sheet	8	of	18	

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		Number	Kind Code <sup>2</sup> (if known)			
PK	KG	6,290,717		Philips	09/18/2001	
	KH	6,290,717		Philips	09/18/01	
	KI	6,295,990		Lewis, et al.	10/02/01	
	KJ	6,299,599		Pham, et al.	10/09/01	
	KK	6,306,161		Ginsburg	10/23/2001	
	KL	6,306,161		Ginsburg	10/23/01	
	KM	6,312,452		Dobak, III, et al.	11/06/01	
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	KP	6,325,818		Werneth	12/04/01	
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	KR	6,338,727		Noda, et al.	01/15/02	
	KS	6,354,099		Bieberich	03/12/2002	
	KT	6,364,899		Dobak III	04/02/2002	
	KU	6,368,304		Aliberto et al.	04/09/2002	
	KV	6,379,378		Werneth et al.	04/30/2002	
	KW	6,383,210		Magers et al.	05/07/2002	
	KX	6,393,320		Lasersohn et al.	05/21/2002	
	KY	6,405,080		Lasersohn et al.	06/11/2002	
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	LG	6,540,771		Dobak, III	04/01/2003	
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	LI	6,648,908		Dobak, III	11/18/2003	
	LJ	20010001830		Dobak, III et al.	05/24/2001	
	LK	20010001830		Dobak, III, et al.	05/24/01	
	LL	20010001831		Dobak, III et al.	05/24/2001	
	LM	20010001831		Dobak, III, et al.	05/24/01	
	LN	20010001832		Dobak, III et al.	05/24/2001	
LO	20010002442		Dobak, III	05/31/01		
LP	20010005791		Ginsburg, et al.	06/28/01		
LQ	20010007951		Dobak, III	07/12/2001		
LR	20010008975		Dobak, III et al.	07/19/2001		

Examiner Signature	RR Collins	Date Considered	11/15/04
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PTO/SB/O88 (10-96)

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Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

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			Group Art Unit	3739	
			Examiner Name	Not Yet Known	
Sheet	9	of	18	Attorney Docket Number	010007

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		Number	Kind Code <sup>2</sup> (if known)			
RR	LS	20010010011		Aliberto, et al.	07/26/01	
	LT	20010011184		Dobak, III et al.	08/02/2001	
	LU	20010011185		Dobak, III et al.	08/02/2001	
	LV	20010014802		Tu	08/16/2001	
	LW	20010016763		Lasheras et al.	08/23/2001	
	LX	20010016764		Dobak, III	08/23/2001	
	LY	20010021865		Dobak, III et al.	09/13/2001	
	LZ	20010021865		Dobak, III, et al.	09/13/01	
	MA	20010021866		Dobak, III et al.	09/13/2001	
	MB	20010029394		Dobak, III et al.	10/11/2001	
	MC	20010031946		Walker et al.	10/18/2001	
	MD	20010032003		Pecor	10/18/2001	
	ME	20010032004		Werneth	10/18/2001	
	MF	20010039440		Lasheras et al.	11/08/2001	
	MG	20010041923		Dobak III	11/15/2001	
	MH	20010044644		Keller, et al.	11/22/01	
	MI	20010047191		Lasersohn, et al.	11/29/01	
	MJ	20010047192		Lasersohn, et al.	11/29/01	
	MK	20010047196		Ginsburg	11/29/01	
	ML	20010049545		Lasersohn et al.	12/06/2001	
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	MO	20020004675		Lasheras	01/10/2002	
	MP	20020007179		Dobak, III et al.	01/17/2002	
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	MR	20020007203		Gilmartin et al.	01/17/2002	
	MS	20020016621		Werneth et al.	02/07/2002	
	MT	20020022823		Luo et al.	02/21/2002	
	MU	20020026227		Phillips	02/28/2002	
	MV	20020029016		Pham et al.	03/07/2002	
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	MX	20020032474		Dobak, III et al.	03/14/2002	
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	MZ	20020045892		Kramer	04/18/2002	
	NA	20020045925		Keller et al.	04/18/2002	
	NB	20020049409		Noda et al.	04/25/2002	
	NC	20020049410		Noda et al.	04/25/2002	
	ND	20020049484		Werneth et al.	04/25/2002	

Examiner Signature	<i>R. Rollens</i>	Date Considered	11/15/04
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			Examiner Name	Not Yet Known	
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RR	NE	20020056281		Bieberich	05/16/2002	
	NF	20020066458		Aliberto et al.	06/06/2002	
	NG	20020068964		Dobak III	06/06/2002	
	NH	20020077665		Kordis et al.	06/20/2002	
	NI	20020077680		Noda	06/20/2002	
	NJ	20020082671		Magers et al.	06/27/2002	
	NK	20020091378		Dobak III et al.	07/11/2002	
	NL	20020091429		Dobak III et al.	07/11/2002	
	NM	20020091430		Dobak III et al.	07/11/2002	
	NN	20020095200		Dobak III et al.	07/18/2002	
	NO	20020095201		Worthen et al.	07/18/2002	
	NP	20020138121		Fox	09/26/2002	
	NQ	20020151945		Gobin, et al.	10/17/2002	
	NR	20020151946		Dobak, III	10/17/2002	
	NS	20020156421		Noda, et al.	10/24/2002	
	NT	20020161331		Noda, et al.	10/31/2002	
	NU	20020169490		Noda, et al.	11/14/2002	
	NV	20030028212		Saab	02/06/2003	
	NW	20030040782		Walker et al.	02/27/2003	
	NX	20040050154		Machold et al.	03/18/2004	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
RR	NY		EP 0664990		EPO	11/19/1997		
	NZ		FR 2 447 406		France	03/06/1980		
	MA		EP0655225A1		Europe	05/31/1993		
	MB		SU 806 029		Russia	02/23/1981		
	MC		WO 91/05528		PCT	05/02/1991		
	MD		WO 93/04727		PCT	03/18/1993		
	ME		WO 95/01814		PCT	01/19/1995		
	MF		WO 96/40347		PCT	12/19/1996		
	MG		WO 97/01374		PCT	01/16/1997		
	MH		WO 97/25011		PCT	07/17/1997		
	MI		WO 98/26831		PCT	06/25/1998		
	MJ		WO 98/31312		PCT	07/23/1998		

Examiner Signature	<i>PRollins</i>	Date Considered	11/15/04
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RE	MK		WO 98/49957		Regents UCSD	11/12/1998	
	ML		WO 99/02096		Regents UCSD	01/21/1999	
	MM		WO 99/37226		Del Mar Technologies	07/29/1999	
	MN		WO 99/44519		Atrionics, Inc.	09/10/1999	
	MO		WO 99/48449		Del Mar Technologies	09/30/1999	
	MP		WO 99/56812		Atrionics, Inc.	11/11/1999	
	MQ		WO 99/66970		Del Mar Technologies	12/29/1999	
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	MS		WO 00/09054		Alsius, Inc	02/24/2000	
	MT		WO 00/10494		Radiant Medical, Inc.	03/02/2000	
	MU		WO 00/38601		Alsius Corporation	12/23/1999	
	MV		WO 00/47145		Alsius, Inc.	02/02/2000	
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	MZ		WO 00/53135		Alsius Corporation	09/14/00	
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	NE		WO 00/72787		Alsius Corporation	12/07/00	
	NF		WO 01/03606		Alsius Corporation	01/18/01	
	NG		WO 01/08580		Alsius Corporation	02/02/01	
	NH		WO 01/12061		Alsius Corporation	02/22/01	
	NI		WO 01/12122		Alsius Corporation	02/22/01	
	NJ		WO 01/17471		Alsius Corporation	03/15/01	
	NK		WO 01/19447		Alsius Corporation	03/22/01	
	NL		WO 01/30413		Alsius Corporation	05/03/01	
	NM		WO 01/41708		Alsius Corporation	06/14/01	
	NN		WO 01/49236		Alsius Corporation	07/12/01	
	NO		WO 01/10365		Innercool Therapies	02/15/01	
	NP		WO 01/13837		Innercool Therapies	03/01/01	
	NQ		WO 01/26590		Innercool Therapies	04/19/01	
	NR		WO 01/43661		Radiant Medical	06/21/01	
	NS		WO 01/13809		Radiant Medical	03/01/01	
	NT		WO 01/10323		Radiant Medical	02/15/01	
	NU		AU730835 B2		Radiant Medical	03/15/2001	
	NV		AU734506 B2		Innercool Therapies	06/14/2001	

Examiner Signature	<i>R. Rollens</i>	Date Considered	11/15/04
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/714,070
				Filing Date	November 14, 2003
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3739
				Examiner Name	Not Yet Known
Sheet	12	of	18	Attorney Docket Number	010007

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
PR	NW		AU739996 B2		Innercool Therapies	02/07/2002		
	NX		AU743945 B2		Radiant Medical	02/07/2002		
	NY		EP 0428505 B2		Gambro Lundia AB	03/14/2001		
	NZ		EP1172932 A2		First Circle Medical	07/19/2001		
	OA		EP1205167 A2		Alsius Corp	05/15/2002		
	OB		WO 97/32518		Scimed Life Systems	09/12/1997		
	OC		WO 98/49957		Regents UCSD	11/12/1998		
	OD		WO 01/52781		Alsius Corp	07/26/2001		
	OE		WO 01/56517		Alsius Corp	08/09/2001		
	OF		WO 01/58397		Radiant Medical	08/15/2001		
	OG		WO 01/64145		Innercool Therapies	09/07/2001		
	OH		WO 01/64146		Radiant Medical	09/07/2001		
	OI		WO 01/66052		Innercool Therapies	09/07/2001		
	OJ		WO 01/74276		Innercool Therapies	10/11/2001		
	OK		WO 01/76655		Innercool Therapies	10/18/2001		
	OL		WO 01/78580		Innercool Therapies	10/25/2001		
	OM		WO 01/87379		Innercool Therapies	11/22/2001		
	ON		WO 01/95840		Innercool Therapies	12/20/2001		
	OO		WO 02/07793		Radiant Medical	01/31/2002		
	OP		WO 02/26175		Alsius Corp.	04/04/2002		
	OQ		WO 02/26176		Alsius Corp.	04/04/2002		
	OR		WO 02/26285		Alsius Corp.	04/04/2002		
	OS		WO 02/26307		Alsius Corp.	04/04/2002		
	OT		WO 02/28300		Alsius Corp.	04/11/2002		
	OU		WO 02/36180		Alsius Corp.	05/10/2002		
	OV		WO 02/38091		Innercool Therapies	05/16/2002		
	OW		WO 02/43577		Alsius Corp.	06/06/2002		
	OX		WO 02/47742		Alsius Corp.	06/20/2002		
	OY		WO 02/47577		Alsius Corp.	06/20/2002		
	OZ		WO 02/055129		Innercool Therapies	07/18/2002		

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		Filing Date	November 14, 2003
		First Named Inventor	John D. Dobak, III
		Group Art Unit	3739
		Examiner Name	Not Yet Known
		Attorney Docket Number	010007
Sheet	13	of	18

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
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RL	PA	Ambrus; <i>The Biphasic Nature and Temperature Dependence of the Activation of Human Plasminogen by Urokinase</i> ; 05/1979; pp. 339-347; Research Communications in Chemical Pathology and Pharmacology, Vol. 24, No. 2.	
	PB	Bigelo; <i>Hypothermia, Its Possible Role in Cardiac Surgery</i> ; 11/1959; pp. 849-866; Annals of Surgery, Vol. 132, No. 5.	
	PC	Cheatle; <i>Cryostripping the Long and Short Saphenous Veins</i> ; 01/1993; one page; Br. J. Surg., Vol. 80.	
	PD	Dexter; <i>Blood Warms as It Blows Retrograde from a Femoral Cannulation Site to the Carotid Artery During Cardiopulmonary Bypass</i> ; 11/1994; pp. 393-397; Perfusion, Vol. 9, No. 6.	
	PE	Gillinov; <i>Superior Cerebral Protection with Profound Hypothermia During Circulatory Arrest</i> ; 11/1992; pp. 1432-1439; Ann. Thorac. Surg., Vol. 55.	
	PF	Higazi; <i>The Effect of Ultrasonic Irradiation and Temperature on Fibrinolytic Activity in Vitro</i> ; 08/1992; p. 251-253; Thrombosis Research, Vol. 69, No. 2.	
	PG	Imamaki; <i>Retrograde Cerebral Perfusion with Hypothermic Blood Provides Efficient Protection of the Brain</i> ; 07/1995; pp. 325-333; Journal of Cardiac Surgery, Vol. 10, No. 4, Part 1.	
	PH	Jolin; <i>Management of a Giant Intracranial Aneurysm Using Surface-Heparinized Extracorporeal Circulation and Controlled Deep Hypothermic Low Flow Perfusion</i> ; 08/1992; pp. 756-760; Acta Anaesthesiologica Scandinavica.	
	PI	Jos R.C. Jansen, Ph.D., et al. (1997) <i>Near Continuous Cardiac Output b Thermodilution</i> . Journal of Clinical Monitoring 13:233-239.	
	PJ	Kimoto; <i>Open Heart Surgery under Direct Vision with the Aid of Brain-Cooling by Irrigation</i> ; 07/1955; pp. 592-603; Surgery, vol. 39, No. 4.	
	PK	Marekovic, Z.; <i>Abstract of Renal Hypothermia in Situ by Venous Passages: Experimental Work on Dogs</i> ; 1980; Eur Urol 6(2); 1 page.	
	PL	Meden; <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> ; 12/1993; pp. 91-98; Acta Neurologica Scandinavica.	

Examiner Signature	<i>CRollins</i>	Date Considered	11/15/04
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RL	PM	Meden; <i>The Influence of Body Temperature on Infarct Volume and Thrombolytic Therapy in a Rat Embolic Stroke Model</i> ; 02/1994; pp. 131-138; Brain Research, Vol. 647.	
	PN	Milleret, Rene; <i>La cryo-chirurgie dans les varices des membres inferieurs</i> ; Angiologie; Supplement au no. 110.	
	PO	Milleret; Abstract of <i>Cryosclerosis of the Saphenous Veins in Varicose Reflux in the Obese and Elderly</i> ; 10.1981; one page; Phlebologie, Vol. 34, No. 4.	
	PP	Parkins; <i>Brain Cooling in the Prevention of Brain Damage During Periods of Circulatory Occlusion in Dogs</i> ; 04/1954; pp.284-289; Annals of Surgery, Vol. 140, No. 3.	
	PQ	Piepgas; <i>Rapid Active Internal Core Cooling for Induction of Moderate Hypothermia in Head-Injury by Use of an Extracorporeal Heat Exchanger</i> ; 02/1998; pp. 311-318; Neurosurgery, Vol. 42, No. 2.	
	PR	Rijken; <i>Plasminogen Activation at Low Temperatures in Plasma Samples Containing Therapeutic Concentrations of Tissue-Type Plasminogen Activator or Other Thrombolytic Agents</i> ; 10/1989; pp.47-52; place of publication unknown.	
	PS	Schwartz, A.E. et al.; (1996); <i>Isolated cerebral hypothermia by single carotid artery perfusion of extracorporeally cooled blood in baboons</i> ; Neurosurgery 39(3):577-582.	
	PT	Schwartz; <i>Cerebral Blood Flow during Low-flow Hypothermic Cardiopulmonary Bypass in Baboons</i> ; 06/1994; pp. 959-964; Anesthesiology, Vol. 81, No.4.	
	PU	Schwartz; <i>Selective Cerebral Hypothermia by Means of Transfemoral Internal Carotid Artery Catheterization</i> ; 05/1996; pp.571-572; Radiology, Vol. 201, No. 2.	
	PV	Steen; <i>The Detrimental Effects of Prolonged Hypothermia and Rewarming in the Dog</i> ; 08/1979; pp. 224-230; Anesthesiology, Vol. 52, No. 3.	
	PW	Vandam; <i>Hypothermia</i> ; 09/1959; pp.546-553; The New England Journal of Medicine.	
	PX	White; <i>Cerebral Hypothermia and Circulatory Arrest</i> ; 07/1978; pp.450-458; Mayo Clinic Proceedings, Vol. 53.	

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RR	PY	Yenari; <i>Thrombolysis with Tissue Plasminogen Activator (TPA) is Temperature Dependent</i> ; 07/1994; pp.475-481; Thrombosis Research, vol. 77, No.5.	
	PZ	Yoshihara; <i>Changes in Coagulation and Fibrinolysis Occurring in Dogs during Hypothermia</i> ; 08/1984; pp.503-512; Thrombosis Research, Vol. 37, No. 4.	
	QA	Zarins; <i>Circulation in Profound Hypothermia</i> ; 11/1972; pp. 97-104; Journal of Surgical Research, Vol. 14, N. 2.	
	QB	Colvett, K. T. et al. "Opportunities with Combined Modality Therapy for Selective Organ Preservation in Muscle-Invasive Bladder Cancer," May 1996, <i>Journ. Surg. Oncology</i> 63:202-201.	
	QC	Mass, C. et al. "Intermittent Antegrade/Selective Cerebral Perfusion during Circulatory Arrest for Repair of the Aortic Arch," 1997, <i>Perfusion</i> , 12:127-132.	
	QD	Alfonsi, P., D. I. Sessler, B. Du Manoir, J-C. Levron, J-P. Le Moing, M. Chauvin, <i>The Effects of Meperidine and Sufentanil on the Shivering Threshold in Postoperative Patients</i> , Anesthesiology, July 1998, 89(1):43-48.	
	QE	Anon, "Automatic feedback instrumentation for hospital room utilizing microsensors," <i>IBM Technical Disclosure Bulletin (ABS.)</i> , 29(3): 1 page, August 1986	
	QF	Benzinger, T.H.; <i>On Physical Heart Regulation and Sense of Temperature in Man</i> ; Naval Medical Research Institute; Physiology; Volume 45; pp. 645-659; (February 26, 1959)	
	QG	Brengelmann, George L.; <i>Specialized Brain Cooling in Humans?</i> ; The FASEB Journal; Volume 7; pp. 1148-1153 (September 1993)	
	QH	Buggy, D., P. Higgins, C. Moran, F. O'Donovan, and M. McCarroll, <i>Clonidine at Induction Reduces Shivering after General Anaesthesia</i> , 1997, pp. 263-267, <i>Can. J. Anaesth.</i> , Vol. 44, N. 3.	
	QI	Cabanac, M., <i>Selective Brain Cooling and Thermoregulatory Set-Point</i> , 1998, pp. 3-13, <i>Journ. of Basic &amp; Clinical Physiology &amp; Pharmacology</i> , Vol. 9, N. 1.	
	QJ	Cabanac, M.; <i>Selective Brain Cooling in Humans: fancy or fact?</i> ; The FASEB Journal; Volume 7; pp. 1143-1147 (September 1993)	

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OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
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PR	QJ	Capogna, G. and D. Celleno, <i>I. V. Clonidine for Post-Extradural Shivering in Parturients: A Preliminary Study</i> , 1993, Brit. Journ. of Anaesth., Vol. 71.	
	QK	Carrol et al. "A comparison of measurements from a temporal artery thermometer and a pulmonary artery thermistor—preliminary results." Fax Correspondence dated October 19, 2001.	
	QL	Cheng, C., T. Matsukawa, D. I. Sessler, M. Ozaki, A. Kurz, B. Merrifield, L. Hank, and P. Olofsson, <i>Increasing Mean Skin Temperature Linearly Reduces the Core-Temperature Thresholds for Vasoconstriction and Shivering in Humans</i> , 05/1995, pp. 1160–1168, Anesthesiology, Vol. 82, N. 5.	
	QM	De Ford et al. "Design and evaluation of closed-loop feedback control of minimum temperatures in human intracranial tumours treated with interstitial hyperthermia," <i>Med. &amp; Biol. Eng. &amp; Comput.</i> 29:197-206, Mark 1991.	
	QN	Deklunder, G., M. Dauzat, J-L. Lecroart, J-J. Hauser, and Y. Houdas, "Influence of Ventilation of the Face on Thermoregulation in Man during Hyper- and Hypothermia," <i>Eur. J. Appl. Physiol.</i> , 1991, 62:342–348.	
	QO	Gentilello, L. M., "Advances in the Management of Hypothermia," <i>Horizons in Trauma Surgery</i> , 75(2):243–256, April 1995	
	QP	Giesbrecht, G. G., M. S. L. Goheen, C. E. Johnston, G. P. Kenny, G. K. Bristow, and J. S. Hayward, <i>Inhibition of Shivering Increases Core Temperature Afterdrop and Attenuates Rewarming in Hypothermic Humans</i> , 1997, 0161-7567:1630–1634, The American Physiological Society,	
	QQ	Giuffre, M., J. Finnic, D. A. Lynam, and D. Smith, <i>Rewarming Postoperative Patients: Lights, Blankets, or Forced Warm Air</i> , 12/1991, pp. 387–393, Journ. of Post Anaesthesia Nursing, Vol. 6, N. 6.	
	QR	Guffin, A., D. Girard, and J. A. Kaplan, <i>Shivering Following Cardiac Surgery: Hemodynamic Changes and Reversal</i> , 02/1987, pp: 24–28, Journ. of Cardiothoracic Anesthesia, Vol. 1, N. 1.	
	QS	Haley, E. C. et al. "A Randomized Trial of Tirilazad Mesylate in Patients with Acute Stroke (RANTTAS)," <i>Stroke</i> , 27(9):1453–1458, 1996.	
	QT	Iaizzo, <i>Facial Warming Increases the Threshold for Shivering</i> , 1999; pp. 231–239, Journ. of Neurosurgical Anesthesiology, Vol. 11, No. 4.	
	QU	Keegan, M. T. et al. <i>Shivering Complicating the Treatment of Neurologically Impaired Surgical and Intensive Care Unit Patients</i> , Anesthesiology, 91(3):874–876, September 1999,.	

Examiner Signature	<i>PRollens</i>	Date Considered	11/15/04
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RR	QV	Kogaku "Sensor technology to control artificial organs," KLA, 22(4):295-300, August 1984 (in Japanese)	
	QW	Kurz, Martin, et al.; "Naloxone, Meperidine, and Shivering," <i>Anesthesiology</i> , 79 (6):1193-1201; December 1993.	
	QX	Lennon, R. L., M. P. Hosking, M. A. Conover, and W. J. Perkins, <i>Evaluation of a Forced-Air System for Warming Hypothermic Postoperative Patients</i> , 1990, pp. 424-427, <i>Anesth. Analg.</i> , Vol. 70.	
	QY	Leslie, K., D. I. Sessler, A. R. Bjorksten, M. Ozaki, T. Matsukawa, and M. Schroeder, <i>Propofol Causes a Dose-Dependent Decrease in the Thermoregulatory Threshold for vasoconstriction but has Little Effect on Sweating</i> , 08/1994, pp. 353-360, Vol. 81, N. 2.	
	QZ	Matsukawa, T., A. Kurz, D. I. Sessler, A. R. Bjorksten, B. Merrifield, and C. Cheng, <i>Propofol Linearly Reduces the Vasoconstriction and Shivering Thresholds</i> , 05/1995, pp. 1169-1180, <i>Anesthesiology</i> , Vol. 82, N. 5.	
	RA	Meden, P., K. Overgaard, H. Pedersen, G. Boysen, <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> , 1994, pp. 91-98, <i>Acta Neurol. Scand.</i> Vol. 90.	
	RB	Möller et al. "Temperature control and light penetration in a feedback interstitial laser thermotherapy system," <i>Int. J. Hyperthermia</i> , 12(1):49-63, 1996	
	RC	Olshausen et al. "An isothermal flowmeter with improved frequency response for measuring tissue blood flow," <i>Pflügers Arch</i> , 367:97-102, 1976.	
	RD	Pais, S. O., K. D. Tobin, C. B. Austin, and L. Queral, <i>Percutaneous Insertion of the Greenfield Inferior Vena Cava Filter: Experience with Ninety-Six Patients</i> , 10/1988, pp. 460-464, <i>Journ. of Vascular Surg.</i> , Vol. 8, N. 4.	
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/714,070
		Filing Date	November 14, 2003
		First Named Inventor	John D. Dobak, III
		Group Art Unit	3739
		Examiner Name	Not Yet Known
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		Attorney Docket Number	010007

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Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
RE	RH	Sessler, Daniel I.; "Mild Perioperative Hypothermia"; The New England Journal of Medicine; 336:1730-1737; June 12, 1997.	
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